VIRGINIA FOXX, NC Chairwoman

MAJORITY - (202) 225-4527



ROBERT C. "BOBBY" SCOTT, VA Ranking Member

MINORITY - (202) 225-3725

COMMITTEE ON EDUCATION AND THE WORKFORCE U.S. HOUSE OF REPRESENTATIVES 2176 RAYBURN HOUSE OFFICE BUILDING WASHINGTON, DC 20515-6100

February 7, 2023

The Honorable Seema Nanda Solicitor U.S. Department of Labor 200 Constitution Avenue, NW Washington, DC 20210

Dear Solicitor Nanda:

It has come to my attention that after serving less than two years as Secretary of Labor, Martin J. Walsh is leaving the Biden administration. According to reports from Bloomberg Law and POLITICO, the National Hockey League Players Association (NHLPA) offered Secretary Walsh the position of executive director.¹ I write to confirm that Secretary Walsh is following all ethics policies and procedures required of a cabinet-level official and an employee of the Department of Labor (DOL).

As the Solicitor of Labor, one of your jobs is to ensure that DOL officials follow ethics procedures when seeking employment.² By no later than February 22, please provide the Committee with responses to the following questions and requests:

- 1. Did Secretary Walsh notify the Office of the Solicitor (SOL) of his intention to seek employment? If so, when? Did SOL provide advice to Secretary Walsh?
- 2. Has Secretary Walsh disqualified himself from matters that could affect the NHLPA or any other entity with which he has sought employment? If so, please provide a detailed explanation of how Secretary Walsh has disqualified himself.

¹ See Rebecca Rainey & Jennifer Jacobs, Labor Secretary Marty Walsh to Exit Biden Administration, BLOOMBERG L., Feb. 7, 2023, <u>https://news.bloomberglaw.com/daily-labor-report/labor-secretary-marty-walsh-to-exit-biden-administration</u>; Lisa Kashinsky, Marty Walsh to Depart from Biden Cabinet for Job Atop Hockey Players' Union, POLITICO PRO, Feb. 7, 2023, <u>https://subscriber.politicopro.com/article/2023/02/marty-walsh-to-depart-from-biden-cabinet-for-job-atop-hockey-players-union-pro-00081567?source=email.</u>

² For the purposes of this letter, the definition of "seeking employment" is defined in DOL's ETHICS GUIDE: SEEKING AND POST-GOVERNMENT EMPLOYMENT OFFICE,

https://www.dol.gov/sites/dolgov/files/SOL/files/2022%20Guidance%20-%20Seeking%20and%20Post-Employment.pdf.

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- 3. Has Secretary Walsh filed any recusal forms with SOL related to seeking employment? If so, did this filing occur within the time required by the *Stop Trading on Congressional Knowledge Act* (STOCK Act)?³
- 4. As Secretary Walsh sought employment during his tenure as Secretary, provide all documents and communications pertaining to those searches and pertaining to questions 1, 2, and 3 above.

The American people deserve to know that Secretary Walsh met his ethics obligations while searching for employment outside of the federal government. Thank you for your prompt attention to this inquiry.

Sincerely,

Virginia Forces

Virginia Foxx Chairwoman

Enclosure

³ Pub. L. 112-105. The STOCK Act's time limit for filing a recusal form is three business days after beginning negotiations with a prospective employer.

Responding to Committee Document Requests

- 1. In complying with this request, you should produce all responsive documents that are in your agency's possession, custody, or control, whether held by you or other past or present employees of the executive branch, or a representative acting on your behalf. Your response should also produce documents that you have a legal right to obtain, that the agency has a right to copy or to which you have access, or that you have placed in the temporary possession, custody, or control of any third party. Requested records, documents, data, or information should not be destroyed, modified, removed, transferred, or otherwise made inaccessible to the Committee on Education and the Workforce (the "Committee").
- 2. If any entity, organization, or individual denoted in this request has been, or is also known by any other name than that herein denoted, the request shall be read also to include that alternative identification.
- 3. The Committee's preference is to receive documents in electronic form (i.e., email, CD, memory stick, or thumb drive) in lieu of paper productions.
- 4. Documents produced in electronic format should also be organized, identified, and indexed electronically.
- 5. Electronic document productions should be prepared according to the following standards:
 - (a) The production should consist of single page Tagged Image File ("TIF"), files accompanied by a Concordance-format load file, an Opticon reference file, and a file defining the fields and character lengths of the load file.
 - (b) Document numbers in the load file should match document Bates numbers and TIF file names.
 - (c) If the production is completed through a series of multiple partial productions, field names and file order in all load files should match.
- 6. Documents produced to the Committee should include an index describing the contents of the production. To the extent more than one CD, hard drive, memory stick, thumb drive, box, or folder is produced, each CD, hard drive, memory stick, thumb drive, box, or folder should contain an index describing its contents.
- 7. Documents produced in response to this request shall be produced together with copies of file labels, dividers, or identifying markers with which they were associated when they were requested.
- 8. When you produce documents, you should identify the paragraph, question number, or request number in the Committee's request to which the documents respond.

- 9. It shall not be a basis for refusal to produce documents that any other person or entity—either inside or outside of the executive branch—also possesses non-identical or identical copies of the same documents.
- 10. If any of the requested information is only reasonably available in machine-readable form (such as on a computer server, hard drive, or computer backup tape), the agency's staff should consult with the Committee staff to determine the appropriate format in which to produce the information.
- 11. If compliance with the request cannot be made in full, compliance shall be made to the extent possible and shall include a written explanation of why full compliance is not possible.
- 12. In the event that a document or portion of a document is withheld on the basis of privilege, provide a privilege log containing the following information concerning any such document or redaction: (a) the privilege asserted; (b) the type of document; (c) the general subject matter; (d) the date, author, and addressee; and (e) the relationship of the author and addressee to each other.
- 13. If any document responsive to this request was, but no longer is, in your possession, custody, or control, identify the document (stating its date, author, subject, and recipients) and explain the circumstances under which the document ceased to be in your possession, custody, or control.
- 14. If a date or other descriptive detail set forth in this request referring to a document is inaccurate, but the actual date or other descriptive detail is known to you or other agency employees, or is otherwise apparent from the context of the request, you should produce all documents which would be responsive as if the date or other descriptive detail were correct.
- 15. The time period covered by this request is included in the attached request. To the extent a time period is not specified, produce relevant documents from January 20, 2021, to the present.
- 16. This request is continuing in nature and applies to any newly-discovered information. Any record, document, compilation of data, or information, not produced because it has not been located or discovered by the return date shall be produced immediately upon subsequent location or discovery. Such submission shall include an explanation as to why the information was not produced originally.
- 17. All documents shall be Bates-stamped sequentially and produced sequentially.
- 18. If physical documents are to be delivered, two sets of documents should be delivered, one set to the Majority Staff in Room 2176 of the Rayburn House Office Building and one set to the Minority Staff in Room 2101 of the Rayburn House Office Building during Committee office

hours (9am-5pm, unless other arrangements are made) and signed by members of the respective staffs upon delivery.

- 19. Upon completion of the document production, the agency's written response should include a written certification, signed by the agency head or his or her designee, stating that: (1) a diligent search has been completed of all documents in your possession, custody, or control which reasonably could contain responsive documents; and (2) all documents located during the search that are responsive have been produced to the Committee.
- 20. If the agency does not expect to produce all documents responsive to this letter by the date requested, the agency's staff shall consult with the Committee as soon as it is known the agency cannot meet the deadline, but no later than 24 hours before the due date to explain: (1) what will be provided by the due date, (2) why the agency believes certain materials cannot be produced by the due date, and (3) the agency's proposed timeline for providing any omitted information.
- 21. The agency's response to questions and request should be answered or provided in separate document and not included inside a narrative response.

Definitions

- 1. The term "document" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intraoffice communications, electronic mail (e-mail), contracts, cables, notations of any type of conversation, telephone call, meeting or other communication, bulletins, printed matter, computer printouts, teletypes, invoices, transcripts, diaries, analyses, returns, summaries, minutes, bills, accounts, estimates, projections, comparisons, messages, correspondence, press releases, circulars, financial statements, reviews, opinions, offers, studies and investigations, questionnaires and surveys, and work sheets (and all drafts, preliminary versions, alterations, modifications, revisions, changes, and amendments of any of the foregoing, as well as any attachments or appendices thereto), and graphic or oral records or representations of any kind (including without limitation, photographs, charts, graphs, microfiche, microfilm, videotape, recordings and motion pictures), and electronic, mechanical, and electric records or representations of any kind (including, without limitation, tapes, cassettes, disks, and recordings) and other written, printed, typed, or other graphic or recorded matter of any kind or nature, however produced or reproduced, and whether preserved in writing, film, tape, disk, videotape, or otherwise. A document bearing any notation not a part of the original text is to be considered a separate document. A draft or non-identical copy is a separate document within the meaning of this term.
- 2. The term "communication" means each manner or means of disclosure or exchange of information, regardless of means utilized, whether oral, electronic, by document or

otherwise, and whether in a meeting, by telephone, facsimile, email, regular mail, telexes, releases, or otherwise.

- 3. The terms "and" and "or" shall be construed broadly and either conjunctively or disjunctively to bring within the scope of this request any information which might otherwise be construed to be outside its scope. The singular includes plural number, and vice versa. The masculine includes the feminine and neuter genders.
- 4. The terms "person" or "persons" mean natural persons, firms, partnerships, associations, corporations, subsidiaries, divisions, departments, joint ventures, proprietorships, syndicates, or other legal, business, or government entities, and all subsidiaries, affiliates, divisions, departments, branches, or other units thereof.
- 5. The term "identify," when used in a question about individuals, means to provide the following information: (a) the individual's complete name and title; and (b) the individual's business address and phone number.
- 6. The term "referring or relating," with respect to any given subject, means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is pertinent to that subject in any manner whatsoever.
- 7. The term "agency" means any department, independent establishment, or corporation of the federal government. For the purposes of responding to oversight requests, the Committee expects information to be provided from all sub-agencies of an agency and not just the information that is immediately available to the addressee or the addressee's immediate sub-agency.